

**Performance Agreement for the Municipal Manager for the period  
01 July 2020 – 30 June 2021**

**RUSTENBURG LOCAL MUNICIPALITY**



**PERFORMANCE AGREEMENT**

**MADE AND ENTERED INTO BY AND BETWEEN:**

**THE RUSTENBURG LOCAL MUNICIPALITY REPRESENTED BY**

**Mpho Elias Khunou**

in his capacity as the **Executive Mayor** of  
**Rustenburg Local Municipality**  
(the "Employer")

and

**Sello Victor Makona**

in his capacity as the **Municipal Manager** of  
**Rustenburg Local Municipality**  
(the "Employee")

(Collectively referred to as the "Parties")

**FOR THE PERIOD 01 JULY 2020 – 30 JUNE 2021**

Performance Agreement for the Municipal Manager for the period  
01 July 2020 – 30 June 2021.

CONTENTS

PERFORMANCE AGREEMENT . . . . . 3

1. INTRODUCTION . . . . . 3

2. PURPOSE OF THIS AGREEMENT . . . . . 4

3. COMMENCEMENT AND DURATION . . . . . 4

4. PERFORMANCE OBJECTIVES . . . . . 5

5. PERFORMANCE MANAGEMENT SYSTEM . . . . . 5

6. COMPETENCY FRAMEWORK . . . . . 6

7. PERFORMANCE ASSESSMENT . . . . . 8

8. SCHEDULE FOR PERFORMANCE REVIEWS . . . . . 11

9. DEVELOPMENTAL REQUIREMENTS . . . . . 11

10. OBLIGATION OF THE EMPLOYER . . . . . 112

11. CONSULTATION . . . . . 12

12. MANAGEMENT OF EVALUATION OUTCOMES . . . . . 12

13. DISPUTE RESOLUTION . . . . . 13

14. GENERAL . . . . . 14

15. SIGNATORIES . . . . . 14

Annexure A Performance Plan

Annexure B Personal Development Action Plan

*Handwritten initials:*  
MK  
M.M.A.D.  
S.V.M.

## PERFORMANCE AGREEMENT

### ENTERED INTO BY AND BETWEEN:

The Rustenburg local Municipality represented by **Mpho Elias Khunou** in his capacity as Executive Mayor (hereinafter referred to as the Employer or Supervisor) and **Sello Victor Makona** in his capacity as the Municipal Manager (hereinafter referred to as the Employee).

### WHEREBY IT IS AGREED AS FOLLOWS:

#### 1. INTRODUCTION

- 1.1. The Employer has entered into a contract of employment with the Employee in terms of section 57 (1) (a) of the Local Government Municipal Systems Act 32 of 2000 ("the Systems Act") for a period ending **30 November 2022**. The Employer and the Employee are hereinafter referred to as "the Parties"
- 1.2. Section 57 (1) (b) of the Systems Act, read with the Contract of Employment concluded between the parties, requires the parties to conclude an annual Performance Agreement
- 1.3. The parties wish to ensure that they are clear about the goals to be achieved and secure the commitment of the Employee to a set of outcomes that will secure local government policy goals
- 1.4. The parties wish to ensure that there is compliance with Sections 57(4A) and 57(5) of the Systems Act
- 1.5. In the agreement the following terms will have the meaning ascribed thereto.
  - a) **this agreement**- means the performance agreement between the Employer and Employee and the Annexures thereto;
  - b) **the Municipal Manager**- means the Municipal Manager of the Rustenburg Local Municipality appointed in terms Section 54A of the Local Government Municipal Systems Act;
  - c) **the Employee**- means the manager appointed in terms of Section 57 of the Systems Act;
  - d) **the Employer**- means Rustenburg Local Municipality; and
  - e) **the Parties**- means the Employer and Employee

## 2. PURPOSE OF THIS AGREEMENT

The purpose of this Agreement is to –

- 2.1. comply with the provisions of Section 57(1) (b), (4A) and (5) of the Systems Act as well as the Contract of Employment entered into between the parties;
- 2.2. specify objectives and targets defined and agreed with the Employee and to communicate to the Employee the Employer's expectations of the Employee's performance and accountabilities in alignment with the Integrated Development Plan, Service Delivery Budget and Implementation Plan (SDBIP) and the budget of the municipality.
- 2.3. specify accountabilities as set out in the Performance Plan (Annexure A),
- 2.4. monitor and measure performance against set targeted outputs,
- 2.5. use the Performance Agreement and Performance Plan as the basis for assessing the suitability of the Employee for employment and/or to assess whether the Employee has met the performance expectations applicable to his job,
- 2.6. appropriately reward the Employee in accordance with the Employer's performance management policy in the event of outstanding performance; and
- 2.7. give effect to the Employer's commitment to a performance-orientated relationship with the Employee in attaining equitable and improved service delivery.

## 3. COMMENCEMENT AND DURATION

- 3.1. Notwithstanding the date of signature hereto, this Agreement will commence on the **01 July 2020 to 30 June 2021** where after a new Performance Agreement, Performance Plan and Personal Development Plan shall be concluded between the parties for the next financial year or any portion thereof.
- 3.2. This Agreement will terminate on the termination of the Employee's contract of employment for any reason.
- 3.3. If at any stage during the validity of this Agreement the work environment alters to the extent that the contents of this Agreement are no longer appropriate, the contents must by mutual agreement between the parties, immediately be revised.

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#### 4. PERFORMANCE OBJECTIVES

- 4.1. The Performance Plan (Annexure A) sets out-
  - a) the performance objectives and targets that must be met by the Employee, and
  - b) the time frames within which those performance objectives and targets must be met.
- 4.2. The performance objectives and targets reflected in **Annexure A** are set by the Employer in consultation with the Employee and based on the Integrated Development Plan, Budget and Service Delivery, Budget and Implementation Plan of the Employer, and shall include key objectives; key performance indicators; target dates and weightings.
- 4.3. The key objectives describe the main tasks that need to be done. The key performance indicators provide the details of the evidence that must be provided to show that a key objective has been achieved. The target dates describe the timeframe in which the work must be achieved. The weightings show the relative importance of the key objectives to each other.
- 4.4. The Employee's performance will in addition, be measured in terms of contributions to the goals and strategies set out in the Employer's Integrated Development Plan.

#### 5. PERFORMANCE MANAGEMENT SYSTEM

- 5.1. The Employee agrees to participate in the performance management system that the Employer adopts or introduces for the municipality.
- 5.2. The Employee accepts that the purpose of the performance management system will be to provide a comprehensive system with specific performance standards to assist the Employer, management and municipal staff to perform to the standards required.
- 5.3. The Employer will consult the Employee about the specific performance standards that will be included in the performance management system as applicable to the Employee.
- 5.4. The employee undertakes to actively focus towards the promotion and implementation of the Key Performance Areas (KPAs) (including special projects relevant to the employee's responsibilities) within the local government framework.
- 5.5. The criteria upon which the performance of the employee must be assessed consist of two components, both of which must be contained in the performance agreement. The employee must be assessed against both components, with a weighting of 80:20 allocated to the Key Performance Areas (KPAs) and the Core Competency Requirements (CCRs), respectively. Each area of assessment will be weighted and will contribute a specific part to the total score. KPAs covering the main areas of work will account for 80% and CCRs will account for 20% of the final assessment.

- 5.6. The employee's assessment will be based on his or her performance in terms of the outputs/outcomes (performance indicators) identified as per the performance plan which are linked to the KPA's, which constitute 80% of the overall assessment result as per the weightings agreed to between the employer and employee

| KEY PERFORMANCE AREAS                    | WEIGHTING  |
|--|------------|
| Municipal Institutional Transformation   | 4          |
| Good Governance and Public participation | 20         |
| Municipal Financial Viability            | 30         |
| Local Economic Development               | 12         |
| Basic Service Delivery                   | 30         |
| Spatial Rationale                        | 4          |
| <b>TOTAL</b>                             | <b>100</b> |

- 5.7. In the case of managers directly accountable to the municipal manager, key performance areas related to the functional area of the relevant manager must be subject to negotiation between the municipal manager and the relevant manager

## 6. COMPETENCY FRAMEWORK

- 6.1 A person appointed as a senior manager must have the competencies as set out in this framework. Focus must also be placed on the following key factors
- Critical leading competencies that drive the strategic intent and direction of local government,
  - Core competencies which senior managers are expected to possess, and which drive the execution of the leading competencies; and
  - The eight Batho Pele principles.
- 6.2. The competency framework consists of **six leading competencies** which comprise of twenty (20) driving competencies that communicate what is expected for effective performance in local government.
- 6.3. The competency framework further involves **six core competencies** that act as drivers to ensure that the leading competencies are executed at an optimal level
- 6.4. Competency Framework Structure
- 6.4.1 The competencies that appear in the competency framework are detailed below:

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| <b>CRITICAL LEADING COMPETENCIES</b> |   |               |
|--------------------------------------|---|---------------|
| <b>Six (6) Leading Competencies</b>  | <b>Twenty (20) driving competencies</b>   | <b>Weight</b> |
| Strategic Direction and Leadership   | <ul style="list-style-type: none"> <li>• Impact and Influence</li> <li>• Institutional Performance Management</li> <li>• Strategic Planning and Management</li> <li>• Organisational Awareness</li> </ul>         | 15            |
| People Management                    | <ul style="list-style-type: none"> <li>• Human Capital Planning and Development</li> <li>• Diversity Management</li> <li>• Employee Relations Management</li> <li>• Negotiation and Dispute Management</li> </ul> | 10            |
| Program and Project Management       | <ul style="list-style-type: none"> <li>• Program and Project Planning and Implementation</li> <li>• Service Delivery Management</li> <li>• Program and Project Monitoring and Evaluation</li> </ul>               | 5             |
| Financial Management                 | <ul style="list-style-type: none"> <li>• Budget Planning and Execution</li> <li>• Financial Strategy and Delivery</li> <li>• Financial Reporting and Monitoring</li> </ul>  | 15            |
| Change Management                    | <ul style="list-style-type: none"> <li>• Change Vision and Strategy</li> <li>• Process Design and Improvement</li> <li>• Change Impact Monitoring and Evaluation</li> </ul>                                       | 10            |
| Governance Leadership                | <ul style="list-style-type: none"> <li>• Policy Formulation</li> <li>• Risk and Compliance Management</li> <li>• Cooperative Governance</li> </ul>  | 10            |
| <b>SIX (6) CORE COMPETENCIES</b>     |   |               |
| Moral Competence                     |   | 5             |
| Planning and Organising              |   | 10            |
| Analysis and Innovation              |   | 5             |
| Knowledge and Information Management |   | 5             |
| Communication                        |   | 5             |
| Results and Quality Focus            |   | 5             |
| <b>Total</b>                         |   | <b>100%</b>   |

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## 7. PERFORMANCE ASSESSMENT

- 7.1. The Performance Plan (Annexure A) to this Agreement sets out
- 7.1.1. The standards and procedures for evaluating the Employee's performance; and
  - 7.1.2. The intervals for the evaluation of the Employee's performance;
- 7.2. Despite the establishment of agreed intervals for evaluation, the **Employer** may in addition review the **Employee's** performance at any stage while the contract of employment remains in force,
- 7.3. Personal growth and development needs identified during any performance review discussion must be documented in a Personal Development Plan as well as the actions agreed to and implementation must take place within set time frames,
- 7.4. The **Employee's** performance will be measured in terms of contributions to the strategic objectives and strategies set out in the **Employer's** IDP.
- 7.5. The Annual performance appraisal will involve:
- 7.5.1. Assessment of the achievement of results as outlined in the Performance Plan
- a) Each KPA should be assessed according to the extent to which the specified standards or performance indicators have been met and with due regard to *ad-hoc* tasks that had to be performed under the KPA
  - b) Values are supplied for KPI's and Activities under each KPA as part of the Institutional Assessment. Based on the Target for an activity or KPI, over or under performance are calculated and converted to the 1-5-point scale automatically. These scores are carried over to the applicable employee's performance plan. During assessment, the employee has a chance to submit evidence of performance for appropriate rating
  - c) The assessment of the performance of the Employee is therefore based on the following rating scale for KPIs and subsequent Leading Competencies and Core Competencies:

| Level | Rating |   |   |   |   | Terminology                                  | Description   |
|-------|--------|---|---|---|---|--|---|
|       | 1      | 2 | 3 | 4 | 5 |  |   |
| 5     |        |   |   |   |   | Outstanding Performance                      | Performance far exceeds the standard expected of an employee at this level. The appraisal indicates that the Employee has achieved above fully effective results against all performance criteria and indicators as specified in the PA and Performance Plan and maintained this in all areas of responsibility throughout the year.  |
| 4     |        |   |   |   |   | Performance Significantly Above Expectations | Performance is significantly higher than the standard expected in the job. The appraisal indicates that the Employee has achieved above fully effective results against more than half of the performance criteria and indicators and fully achieved all others throughout the year.  |
| 3     |        |   |   |   |   | Fully Effective                              | Performance fully meets the standards expected in all areas of the job. The appraisal indicates that the Employee has fully achieved effective results against all significant performance criteria and indicators as specified in the Performance Agreements and Performance Plan.   |
| 2     |        |   |   |   |   | Not Fully Effective                          | Performance is below the standard required for the job in key areas. Performance meets some of the standards expected for the job. The review/assessment indicates that the employee has achieved below fully effective results against more than half the key performances criteria and indicators as specified in the Performance Agreements and Performance Plan.  |
| 1     |        |   |   |   |   | Unacceptable Performance                     | Performance does not meet the standard expected for the job. The review/assessment indicates that the employee has achieved below fully effective results against almost all of the performance criteria and indicators as specified in the Performance Agreements and Performance Plan. The employee has failed to demonstrate the commitment or ability to bring performance up to the level expected in the job despite management efforts to encourage improvement. |

- d) The Employee will submit her self-evaluation to the Employer prior to the formal assessment with the Panel; and
- e) An overall score will be calculated based on the total of the individual scores calculated above.

7.5.2. Assessment of the Leading Competencies and Core Competencies:

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- a) There is no hierarchical connotation to the structure and all competencies are essential to the role of a senior manager to influence high performance.
- b) All competencies must therefore be considered as measurable and critical in assessing the level of a senior manager's performance
- c) The competency framework is underscored by four (4) achievement levels that act as benchmark and minimum requirements for other human capital interventions, which are, recruitment and selection, learning and development, succession and planning, and promotion

### 7.5.3. Achievement Levels

7.5.3.1. The achievement levels indicated in the table below serves as a benchmark for the appointments, succession planning and development interventions.

7.5.3.2. Individuals falling within the Basic range are deemed unsuitable for the role of senior manager, and caution should be applied in promoting and appointing such persons.

7.5.3.3 Individuals that operate in the Superior range are deemed highly competent and demonstrate an exceptional level of practical knowledge, attitude and quality. These individuals should be considered for higher positions and should be earmarked for leadership programs and succession planning

| Achievement Levels | Description  |
|--------------------|--|
| Basic 1            | Applies basic concepts, methods, and understanding of local government operations, but requires supervision and development intervention                                       |
| Competent 2        | Develops and applies more progressive concepts, methods and understanding<br>Plans and guides the work of others and executes progressive analyses                             |
| Advanced 3         | Develops and applies complex concepts, methods and understanding.<br>Effectively directs and leads group and executes in-depth analyses  |
| Superior 4         | Has a comprehensive understanding of local government operations, critical in shaping strategic direction and change, develops and applies comprehensive concepts and methods. |

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## 7.6 Performance Assessment Panel

7.6.1. For purpose of evaluating the annual performance of municipal manager, an evaluation panel constituted of the following persons must be established:

- a) Executive Mayor or Mayor
- b) Chairperson of the Performance Audit Committee (PAC) or the Audit Committee (AC) in the absence of a Performance Audit Committee
- c) Member of the Mayoral or Executive Committee or in respect of a plenary type municipality, another member of Council
- d) Mayor/ Municipal Manager from another municipality, and
- e) Member of a Ward Committee as nominated by the Executive Mayor
- f) The Manager responsible for human resources of the municipality must provide secretariat to the evaluation panels

## 8. SCHEDULE FOR PERFORMANCE REVIEWS

8.1. The performance of each employee in relation to his/her performance agreement must be reviewed on the following dates with the understanding that reviews in the first and third quarter may be verbal if performance is satisfactory.

|   |  |
|---|--|
| 1 <sup>st</sup> quarter                   | Not later than end of the second week of October |
| 2 <sup>nd</sup> quarter.                  | Not later than end of the first week of January. |
| 3 <sup>rd</sup> quarter.                  | Not later than end of the second week of April   |
| 4 <sup>th</sup> quarter and annual review | First week of August                             |

8.2. The Employer shall keep a record of the mid-year review and annual assessment meetings

8.3. Performance feedback must be based on the Employer's assessment of the Employee's performance

8.4. The Employer will be entitled to review and make reasonable changes to the provisions of **Annexure A** from time to time for operational reasons on agreement between both parties.

8.5 The Employer may amend the provisions of Annexure A whenever the performance management system is adopted, implemented and/or amended on agreement with both parties

## 9. DEVELOPMENTAL REQUIREMENTS

9.1 The Personal Development Plan (PDP) for addressing developmental gaps must form part of the performance agreement.

## 10. OBLIGATION OF THE EMPLOYER

10.1. The Employer must –

- a) Create an enabling environment to facilitate effective performance by the employee;
- b) Provide access to skills development and capacity building opportunities,
- c) Work collaboratively with the Employee to solve problems and generate solutions to common problems that may impact on the performance of the Employee,
- d) On the request of the Employee delegate such powers reasonably required by the Employee to enable him/her to meet the performance objectives and targets established in terms of this Agreement; and
- e) Make available to the Employee such resources as the Employee may reasonably require from time to time to assist him/her to meet the performance objectives and targets established in terms of this Agreement.

## 11. CONSULTATION

11.1. The Employer agrees to consult the Employee timeously where the exercising of the powers will have amongst others –

- a) A direct effect on the performance of any of the Employee's functions;
- b) Commit the Employee to implement or to give effect to a decision made by the Employer, and
- c) A substantial financial effect on the Employer.

11.2. The Employer agrees to inform the Employee of the outcome of any decisions taken pursuant to the exercise of powers contemplated in clause 11.1 above, as soon as is practicable to enable the Employee to take any necessary action without delay

## 12. MANAGEMENT OF EVALUATION OUTCOMES

12.1. The evaluation of the Employee's performance will form the basis for rewarding outstanding performance or correcting unacceptable performance.

12.2. A performance bonus of 5% to 14% of the all-inclusive annual remuneration package shall be payable to the Employee in recognition of performance, in determining the performance bonus the relevant percentage is based on the overall rating, calculated by using the applicable assessment-rating calculator.

The performance bonus will be awarded based on the following scheme.

| No | Final Score     | Per cent Performance Bonus |
|----|-----------------|----------------------------|
|    | Below 130%      | 0%                         |
| 1  | 130.0%          | 5.0%                       |
| 2  | 131.0% -135.0%  | 6.0%                       |
| 3  | 136.0% -140.0%  | 7.0%                       |
| 4  | 141.0% - 145.0% | 8.0%                       |
| 5  | 146.0% - 149.0% | 9.0%                       |
| 6  | 150.0% -154.0%  | 10.0%                      |
| 7  | 155.0% - 159.0% | 11.0%                      |
| 8  | 160.0% - 164.0% | 12.0%                      |
| 9  | 165.0% - 169.0% | 13.0%                      |
| 10 | Above 169%      | 14.0%                      |

12.3. In the case of unacceptable and/or poor performance, the Employer shall –

- a) provide systematic remedial or developmental support to assist the Employee to improve his or her performance, and
- b) after appropriate performance counselling and having provided the necessary guidance and/ or support as well as reasonable time for improvement in performance, the Employer may consider steps to terminate the contract of employment of the Employee on grounds of unfitness or incapacity to carry out his or her duties

### 13. DISPUTE RESOLUTION

13.1. Any disputes about the nature of the employee's performance agreement, whether it relates to key responsibilities, priorities, methods of assessment and/ or salary increment in the agreement, must be mediated by –

- a) In the case of the municipal manager, the MEC for Local Government in the province within thirty (30) days of receipt of a formal dispute from the employee, or any other person designated by the MEC. whose decision shall be final and binding on both parties.

13.2. Any disputes about the outcome of the employee's performance evaluation, must be mediated by –

- a) In the case of municipal manager, the MEC for local government in the province within thirty days (30) days of receipt of a formal dispute from the employee, or any other person designated by the MEC

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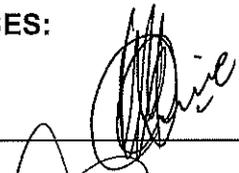
#### 14. GENERAL

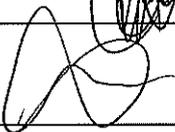
- 14.1 The contents of this performance agreement must be made available to the public by the Employer,
- 14.2. Nothing in this agreement diminishes the obligation, duties or accountabilities of the Employee in terms of his or her contract of employment, or the effects of existing or new regulations, circulars, policies, directives or other instruments

#### 15. SIGNATORIES

Signed at **RUSTENBURG** on this 17 day of July **2020**.

AS WITNESSES:

1   
\_\_\_\_\_

2   
\_\_\_\_\_

  
\_\_\_\_\_  
**MR SELLO VICTOR MAKONA**  
**MUNICIPAL MANAGER**

Signed at **RUSTENBURG** on this 17 day of July **2020**.

AS WITNESSES:

1   
\_\_\_\_\_

2.   
\_\_\_\_\_

  
\_\_\_\_\_  
**CLLR MPHO ELIAS KHUNOU**  
**EXECUTIVE MAYOR**

# RUSTENBURG LOCAL MUNICIPALITY



## ANNEXURE A

### PERFORMANCE PLAN

### FOR

**Mr. S V Makona**

**Municipal Manager**

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SVM.*

## Contents

|    |  |    |
|----|--|----|
| 1. | BACKGROUND   | 3  |
| 2  | DURATION AND CONDITIONS  | 3  |
| 3  | POSITION PURPOSE   | 4  |
| 4  | PERFORMANCE REVIEW PROCEDURE   | 4  |
| 5  | FUNCTIONAL ALIGNMENT OF THE INDIVIDUAL PERFORMANCE SCORECARD TO THE INTERGRATED DEVELOPMENT PLAN (IDP) OF THE ORGANISATION | 5  |
| 6  | KEY PERFORMANCE AREA SCORECARD   | 6  |
| 6  | COMPETENCY REQUIREMENTS  | 21 |
| 7  | CONSOLIDATED SCORESHEET (PERFORMANCE ASSESSMENT CALCULATOR) MUNICIPAL MANAGER  | 33 |

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1. BACKGROUND

This Plan defines the council's expectations of the Municipal Manager (MM) in accordance with the municipal manager's performance agreement to which this document is attached. Section 57(5) of the Municipal System Act and the Performance Regulations gazette in Notice No 805, published on 1 August 2006, which provides the performance objectives and targets must be on the key performance indicators set out from time to time in the Municipality's Integrated Development Plan and determined by the Mayor (as represented of Council).

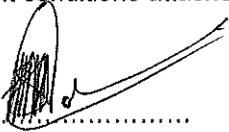
There are of 6 parts to this plan:

1. A statement about the purpose of the position
2. Performance review procedure
3. Top Layer Scorecard detailing key performance areas (KPA's) and their related performance indicators, weightings and target dates
4. Competency Requirements
5. Consolidated scorecard (Performance Assessment Calculator)

2. DURATION AND CONDITIONS

2.1. The period of this **Performance Plan** is from **01 July 2020** to **30 June 2021**.

2.2. There are no pre-and/or current Employment conditions attached to this Performance Plan

Signed and accepted by the **Municipal Manager**:  ..... Date: 17/07/2020

Signed by the **Executive Mayor** on behalf of Council:  ..... Date: 17/07/2020

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### 3. POSITION PURPOSE

The Municipal Manager is required to:

- (i) Lead and direct the administration of the Municipality through effective strategies to fulfil the objects of local government provided for in the Constitution, 1996 and any other legislative framework that govern the local government
- (ii) Fostering relationships between the Municipal Council and the administrative arm of the municipality as well other key stakeholders; and
- (iii) Creating an environment that defines the purpose and role of local government to involve people in shaping the future of communities

As the head of the administration and accounting officer of the municipality, the Municipal Manager is responsible for and performs the following functions:

- (i) Good governance and public participation
- (ii) Sustainable infrastructure and basic service delivery
- (iii) Local development
- (iv) Municipal transformation and organisation development and;
- (v) Municipal financial viability and management

### 4. PERFORMANCE REVIEW PROCEDURE

1. A performance review will be held on a quarterly basis with a formal performance review bi-annually in December/January and in June/July after the financial year with the understanding that review in the first and third quarter may be verbal if performance is satisfactory
2. The Mayor may request input from agendas, minutes and "customers" on the Municipal Manger's performance throughout the review period. This may be done through discussion or by asking "customers" to complete a rating form to submit to the evaluation panel for consideration. Customers are people who are able to comment on the Municipal Manager's performance since they have worked closely with him on some or all aspects of his job.
3. The Municipal Manager to prepare for quarterly performance evaluation by providing a brief description of achievements, including the reference to evidence, supporting documentation, (documents, reports and/or resolutions with dates of submission) in the relevant column in section 4 (KPA) score card below). Achievement to be reported on cumulatively)
4. The Municipal Manager to provide a rating for himself for the final assessment against the agreed objectives in the column provided in the KPA scorecard.
5. The Municipal Manger and Evaluation panel to meet to conduct formal performance rating and agree final scores. It may be necessary to have two meetings i,e give the Municipal Manager scores and allow him time to consider them before final agreement. In the event of disagreement, the evaluation panel has the final say with regard to the final score that is given.
6. The evaluation panel to provide ratings of the Municipal Manger's performance against agreed objectives as a result of portfolio of evidence and/or comments and customer input.
7. Initially the scoring should be recorded on the scorecard then transferred onto the consolidated score sheet
8. Any reasons for non-compliance should be recorded during the review session by keeping of minutes of the review session.
9. The assessment of the performance of the Municipal Manager will be based on the following rating scale for KPA's:

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| Terminology                                 | Description   | Rating Level |
|---|---|--------------|
| Outstanding Performance                     | Performance far exceeds the standard expected of the MM at this level. The appraisal indicates that the MM has achieved above fully effective results against all performance criteria and indicators as specified in the PA and Performance plan and maintained this in all areas of responsibility throughout the year  | 5            |
| Performance Significantly above expectation | Performance is significantly higher than the standard expected in the job. The appraisal indicates that the MM has achieved all others through the year   | 4            |
| Fully Effective                             | Performance fully meets the standards expected in the job. The appraisal indicates that the MM has fully achieved effective results against all significant performance criteria and indicators as specified in the PA and Performance Plan.  | 3            |
| Performance not fully Effective             | Performance is below the standard required for the job. Performance meets some of the standards expected for the job. The review/assessment indicates that the MM has achieved below fully effective results against more than half the key performance criteria and indicators as specified in the PA and Performance Plan   | 2            |
| Unacceptable Performance                    | Performance does not meet the standard for the job. The review/assessment indicates that the MM has achieved below fully effective results against almost all of the performance criteria and indicators as specified in the PA and Performance Plan. The MM has failed to demonstrate the commitment or ability to bring the performance up to the level of expected in the job despite management efforts to encourage improvement. | 1            |

10. Only those items relevant for the review period in question should be scored
11. The assessment of the performance of the Municipal Manager on all Competencies will be based on the rating scale as reflected in section 4 of the performance plan.
12. The Mayor and Municipal Manager to prepare and agree on a personal development plan (PDP) for addressing developmental gaps.
13. The mayor and Municipal Manager to set new objectives, targets, performance indicators, weighting and dates etc. for the following financial year.
14. Poor work performance will be dealt with in terms of regulation 32 (3) of the Performance gazetted in Notice No 805, Published on 1 August 2006.

5 FUNCTIONAL ALIGNMENT OF THE INDIVIDUAL PERFORMANCE SCORECARD TO THE INTERGRATED DEVELOPMENT PLAN (IDP) OF THE ORGANISATION.

The integrated Development Plan (IDP) 2020/2021 of the Rustenburg Local municipality is aligned to the prescribed National Key Performance Areas, viz:

- 1) Basic Service delivery and Infrastructure Development
- 2) Local Economic Development
- 3) Municipal Financial Viability and Management
- 4) Good Governance and Public Participation
- 5) Municipal Institutional Development and Transformation
- 6) Spatial Rationale

All Directorates within the Organisation are accountable for the successful of fulfilment of the IDP's specific programmes as espoused under each of the above National Key Performance Areas.

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## 6. KEY PERFORMANCE AREA SCORECARD

## 6.1. Key Performance Area (KPA 1) Municipal Transformation and Institutional Development

| Key Focus Area  | Strategies  | Area/Localit<br>y<br>(Ward/Area) | KPI<br>No | REF       | Weight<br>ing | Key Performance Indicator (KPI)  | POE   | Baseline<br>2019/20                                | 2020/21<br>Annual<br>Target            | Annual<br>Budget<br>2020/21<br>R'000 | 2020/21 Performance Targets per Quarter |     |     |      |
|---|---|----------------------------------|-----------|-----------|---------------|--|---|--|--|--------------------------------------|---|-----|-----|------|
|   |   |                                  |           |           |               |  |   |  |  |                                      | Q1                                      | Q2  | Q3  | Q4   |
| MUNICIPAL STRATEGIC PRIORITY: Drive optimal municipal institutional development, transformation and capacity building |   |                                  |           |           |               |  |   |  |  |                                      |   |     |     |      |
| 5.1.  | Municipal Strategic Objective: Develop and implement integrated internal systems and processes  |                                  |           |           |               |  |   |  |  |                                      |   |     |     |      |
| GOAL 7<br>A vibrant, creative<br>and innovative city  | Ensure optimal<br>and Integrated<br>Systems   | Municipal<br>wide                | 1         | DCS<br>3  | 1             | Number of ICT Policies reviewed<br>by 30 June 2021   | Agenda of<br>Council, Minutes<br>and approved<br>policies   | 3 x Revised<br>ICT Policies                        | 3 x Revised<br>ICT Policies            | operational                          | 1                                       | 1   | 1   | 0    |
| 5.2.  | Municipal Strategic Objective: Develop, implement and review internal policies and procedures on regular basis  |                                  |           |           |               |  |   |  |  |                                      |   |     |     |      |
| GOAL 11 City of<br>sustainable and<br>efficient resource<br>management  | Safer and<br>healthy<br>working<br>environment  | Municipal<br>wide                | 2         | DCS<br>7  | 1             | Number of Occupational Health<br>and Safety (OHS) inspections and<br>reports submitted by 30 June<br>2021  | OHS inspection<br>report                                    | 36x<br>inspections<br>conducted                    | 36                                     | operational                          | 9                                       | 9   | 9   | 9    |
| 5.3.  | Municipal Strategic Objective: Develop and implement internal capability model (institutional core and critical competencies, scarce skills, maintenance skills) that enhance institutional and external stakeholders' development communities and institutional capability |                                  |           |           |               |  |   |  |  |                                      |   |     |     |      |
| GOAL 7<br>A vibrant, creative<br>and innovative city  | Knowledgeable,<br>innovative and<br>productive<br>Personnel   | Municipal<br>wide                | 3         | DCS<br>10 | 1             | % of the municipality's budget<br>actually spent on implementing<br>its Workplace Skills Plan              | Training<br>expenditure<br>report                           | Approved<br>WSDP                                   | 95%                                    | R3m                                  | 0%                                      | 30% | 60% | 100% |
| GOAL 7. A vibrant,<br>creative and<br>innovative city   | Building a<br>capable<br>Workforce  | Municipal<br>wide                | 4         | DCS<br>11 | 1             | Number of Organizational<br>Structures reviewed and<br>submitted to Council for approval<br>by 30 May 2021 | Process plan for<br>organizational<br>structure clean<br>up | 1 x<br>approved<br>organisatio<br>nal<br>structure | 1 x<br>Organizatio<br>nal<br>Structure | operational                          | 0                                       | 0   | 0   | 0    |

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| Key Focus Area | Strategies | Area/Locality (Ward/Area) | KPI No | REF | Weighting | Key Performance Indicator (KPI) | POE                       | Baseline 2019/20 | 2020/21 Annual Target | Annual Budget 2020/21 R'000 | 2020/21 Performance Targets per Quarter |    |    |    |  |
|----------------|------------|---------------------------|--------|-----|-----------|---------------------------------|---------------------------|------------------|-----------------------|-----------------------------|---|----|----|----|--|
|                |            |                           |        |     |           |                                 |                           |                  |                       |                             | Q1                                      | Q2 | Q3 | Q4 |  |
|                |            |                           |        |     |           |                                 | Approved placement policy |                  |                       |                             |   |    |    |    |  |
| Total          |            |                           | 4      |     | 4         |                                 |                           |                  |                       |                             |   |    |    |    |  |

## 6.2 Key Performance Area (KPA 2): Good Governance and Public Participation

| Key Focus Area   | Strategies  | Area/Locality (Ward/Area) | KPI No | REF    | Weighting | Key Performance Indicator (KPI)  | POE                         | Baseline 2019/20  | 2020/21 Annual Target | Annual Budget 2020/21 R'000 | 2020/21 Performance Targets per Quarter |    |    |    |
|--|---|---------------------------|--------|--------|-----------|--|-----------------------------|---|-----------------------|-----------------------------|---|----|----|----|
|  |   |                           |        |        |           |  |                             |   |                       |                             | Q1                                      | Q2 | Q3 | Q4 |
| <b>MUNICIPAL STRATEGIC PRIORITY: UPHOLD GOOD GOVERNANCE PUBLIC PARTICIPATION PRINCIPLES</b>                |   |                           |        |        |           |  |                             |   |                       |                             |   |    |    |    |
| Municipal Strategic Objective: Drive Good Governance and Legislative compliance in all Municipal processes |   |                           |        |        |           |  |                             |   |                       |                             |   |    |    |    |
| GOAL 9: An Efficient, Effective and Well-Governed City   | Ensure functionality of Municipal governance structures | Municipal wide            | 5      | DCS 13 | 2         | No of Notices prepared and distributed for ordinary Council meetings by 30 June 2021 | Notices of Council meetings | 6 x Council meetings held   | 6                     | operational                 | 2                                       | 1  | 2  | 1  |
| GOAL 9: An Efficient, Effective and Well-Governed City   | Ensure functionality of Municipal governance structures | Municipal wide            | 6      | DCS 15 | 2         | Number of reports on the implementation of Council resolutions                       | 4 x Minutes of Council      | 4 x Council resolutions on the updated implementation of Council resolutions schedule | 4                     | operational                 | 1                                       | 1  | 1  | 1  |
| GOAL 9: An Efficient, Effective  | Ensure functionality of Municipal                       | Municipal wide            | 7      | DCS 17 | 2         | Number of employment equity (EE) reports submitted to the                            | Proof of electronic         | 1x EE Report submission to the  | 1                     | operational                 | 0                                       | 0  | 1  | 0  |

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| Key Focus Area   | Strategies  | Area/Locality (Ward/Area) | KPI No | REF    | Weighting | Key Performance Indicator.(KPI)  | POE   | Baseline 2019/20   | 2020/21 Annual Target  | Annual Budget 2020/21 R'000 | 2020/21 Performance Targets per Quarter   |    |  |    |
|--|---|---------------------------|--------|--------|-----------|--|---|--|--|-----------------------------|---|----|--|----|
|  |   |                           |        |        |           |  |   |  |  |                             | Q1  | Q2 | Q3   | Q4 |
| and Well-Governed City   | governance structures   |                           |        |        |           | Department of Labour by 15 January 2021  | submission of the EE Report   | Department of Labour by 15 January 2021  |  |                             |   |    |  |    |
| <b>6. MUNICIPAL STRATEGIC PRIORITY: UPHOLD GOOD GOVERNANCE PUBLIC PARTICIPATION PRINCIPLES</b> |   |                           |        |        |           |  |   |  |  |                             |   |    |  |    |
| 6.1  | Municipal Strategic Objective Drive Good Governance and Legislative compliance in all Municipal processes |                           |        |        |           |  |   |  |  |                             |   |    |  |    |
| GOAL 9 An Efficient, Effective and Well-Governed City  | Ensure functionality of Municipal governance structures   | Municipal wide            | 8      | DCS 19 | 2         | Number of records disposal applications submitted to the North West Provincial Archives and Records Services By 30 June 2021 | Records disposal application to the North West Provincial Archives and Records Services | 1x records disposal application submitted to the North West Provincial Archives and Records Services | 1  | operational                 | 0   | 0  | 1  | 0  |
| GOAL 9 An Efficient, Effective and Well-Governed City  | Ensure and efficient, effective, accountable and transparent Governance Culture                           | Municipal wide            | 9      | OM M   | 2         | 2019/20 Annual Report tabled to Council for adoption by 31 January 2019  | Council Resolution  | 2018/19 Annual Report compiled and Tabled to Council for adoption and approval                       | 2019/20 Annual Report compiled and Tabled to Council for adoption and approval | operational                 | 2019/20 Annual Performance Report and Annual Financial Statements submitted to AGSA |    | 2019/20 Annual Report compiled and tabled Council for adoption (Janu |    |

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| Key Focus Area  | Strategies   | Area/Locality (Ward/Area) | KPI No | REF  | Weighting | Key Performance Indicator (KPI)  | POE                | Baseline 2019/20  | 2020/21 Annual Target                         | Annual Budget 2020/21 R'000 | 2020/21 Performance Targets per Quarter            |                                |   |   |  |
|---|--|---------------------------|--------|------|-----------|--|--------------------|---|---|-----------------------------|--|--------------------------------|---|---|--|
|   |  |                           |        |      |           |  |                    |   |   |                             | Q1   | Q2                             | Q3  | Q4  |  |
|   |  |                           |        |      |           |  |                    |   |   |                             |  |                                |   | ary 2021) and approval (March 2021)           |  |
| GOAL 9 An Efficient, Effective and Well-Governed City | Ensure inclusive and participatory Integrated Planning | Municipal wide            | 10     | OM M | 2         | 2021/22 IDP reviewed and submitted to Council for approval by 30 May 2020                        | Council resolution | 2020/21 IDP revised, approved and implemented                                     | 2021/22 IDP                                   | R700 000                    | Submission of the 2021/22 IDP Review time schedule | Collating of prioritized needs | Draft 2021/22 IDP submitted to Council                      | Final 2021/22 IDP submitted to Council        |  |
| GOAL 9 An Efficient, Effective and Well-Governed City | Inclusive and participatory Integrated Planning        | Municipal wide            | 11     | OM M | 2         | 2020/21 Service Delivery and Budget Implementation Plan (SDBIP) by 30 July 2020                  | Council Resolution | 2019/20 SDBIP developed, approved by the EM and implemented during the 2019/20 FY | 2020/21 SDBIP approved by the Executive Mayor | 0                           |  |                                | 2020/21 Draft SDBIP as part of the Draft 2020/21 IDP Review | 2020/21 SDBIP approved by the Executive Mayor |  |
| GOAL 9 An Efficient, Effective and Well-Governed City | Inculcate a culture of quality performance             | Municipal wide            | 12     | OM M | 2         | 2020/21 Performance Management Policy Framework tabled to Council for approval by 31 August 2020 | Council Resolution | Performance Management Policy   | 1 x Performance Management                    | 0                           |  |                                |   | 1 x Performance Management                    |  |

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| Key Focus Area  | Strategies  | Area/Locality (Ward/Area) | KPI No | REF    | Weighting | Key Performance Indicator (KPI)                          | POE                 | Baseline 2019/20        | 2020/21 Annual Target                             | Annual Budget 2020/21 R'000 | 2020/21 Performance Targets per Quarter                             |   |   |   |
|---|---|---------------------------|--------|--------|-----------|--|---------------------|-------------------------|---|-----------------------------|---|---|---|---|
|   |   |                           |        |        |           |  |                     |                         |   |                             | Q1  | Q2  | Q3  | Q4  |
|   |   |                           |        |        |           |  |                     | Framework in pace       | Annual Framework revised                          |                             |   |   |   | Annual Framework revised  |
| GOAL 11 City of sustainable and efficient resource management | Sustaining clean administration                         | Municipal Wide            | 13     | BTO 9  | 2         | qualified Audit opinion expressed by the Auditor General | Audit Report        | Qualified audit opinion | qualified audit opinion                           | R6 million                  | N/A   | qualified audit opinion   | N/A   | N/A   |
| <b>GOAL 9: An Efficient, Effective and Well-Governed City</b> | Ensure functionality of Municipal governance structures | Institutional             | 14     | BTO 26 |           | % Implementation of the PAAP by 30 June 2021             | Monthly PAAP Report | New                     | 100% PAAP implementation of the 2019/2020 targets |                             | 100% PAAP implementation as per action plans targeted for quarter 1 | 100% PAAP implementation as per action plans targeted for quarter 2 | 100% PAAP implementation as per action plans targeted for quarter 3 | 100% PAAP implementation as per action plans targeted for quarter 4 |
| Total   |   |                           | 10     |        | 20        |  |                     |                         |   |                             |   |   |   |   |

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### 6.3 Key Performance Area (KPA 3): Municipal Financial Viability and Management

| Key Focus Area   | Strategies  | Area/Locality (Ward/Area) | KPI No | REF   | Weighting | Key Performance Indicator (KPI)  | POE  | Baseline 2019/20                       | 2020/21 Annual Target  | Annual Budget 2020/21 R'000 | 2020/21 Performance Targets per Quarter |                                  |                                  |                                  |
|--|---|---------------------------|--------|-------|-----------|--|--|--|--|-----------------------------|---|----------------------------------|----------------------------------|----------------------------------|
|  |   |                           |        |       |           |  |  |  |  |                             | Q1                                      | Q2                               | Q3                               | Q4                               |
| <b>MUNICIPAL STRATEGIC PRIORITY: UPHOLD GOOD GOVERNANCE PUBLIC PARTICIPATION PRINCIPLES</b>  |   |                           |        |       |           |  |  |  |  |                             |   |                                  |                                  |                                  |
| Municipal Strategic Objective: Drive Good Governance and Legislative compliance in all Municipal processes                             |   |                           |        |       |           |  |  |  |  |                             |   |                                  |                                  |                                  |
| GOAL 11 City of sustainable and efficient resource management  | Implementation of mSCOA compliant financial management system | Municipal Wide            | 15     | BTO 1 | 2         | % Functional mSCOA financial system modules                                  | Sign off certificate of all Modules                                | 87,5% of the mSCOA modules implemented | 100% of all modules as per the SLA signed off as fully operational by the Municipality and system service provider | R3m                         | 90%                                     | 95%                              | 100%                             | 100%                             |
| Municipal Strategic Objective: Implement sound revenue management strategy to enhance municipal financial viability and sustainability |   |                           |        |       |           |  |  |  |  |                             |   |                                  |                                  |                                  |
| GOAL 11 City of sustainable and efficient resource management  | Revenue collection  | Municipal Wide            | 16     | BTO 3 | 2         | Percentage collection of revenue billed                                      | Signed off (CFO) calculation from 3 months C Schedules C schedules | 80%                                    | 85%  | R3,2b                       | 85%                                     | 85%                              | 85%                              | 85%                              |
| GOAL 11 City of sustainable and efficient resource management  | Payment of creditors within the statutory timelines.          | Municipal Wide            | 17     | BTO 4 | 2         | Creditors payment within 30 days of signed-off invoices by user Directorates | Signed off (CFO) calculation from 3 months C Schedules C Schedules | 102 days                               | 30 Days  | None                        | Creditors payment within 30 days        | Creditors payment within 30 days | Creditors payment within 30 days | Creditors payment within 30 days |
| GOAL 11 City of sustainable and  | Achieve positive financial ratios                             | Municipal Wide            | 18     | BTO 5 | 2         | Achieved Improved financial current ratio                                    | Signed off (CFO) calculation from 3 months C                       | 0,7 1                                  | 1 6 1  | R000                        | 1 6 1                                   | 1 6 1                            | 1 6.1                            | 1 8.1                            |

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| Key Focus Area   | Strategies   | Area/Locality (Ward/Area) | KPI No | REF    | Weighting | Key Performance Indicator (KPI)   | POE  | Baseline 2019/20            | 2020/21 Annual Target                   | Annual Budget 2020/21 R'000 | 2020/21 Performance Targets per Quarter |             |             |              |
|--|--|---------------------------|--------|--------|-----------|---|--|-----------------------------|---|-----------------------------|---|-------------|-------------|--------------|
|  |  |                           |        |        |           |   |  |                             |   |                             | Q1                                      | Q2          | Q3          | Q4           |
| efficient resource management  |  |                           |        |        |           |   | Schedules. C Schedules   |                             |   |                             |   |             |             |              |
| GOAL 11 City of sustainable and efficient resource management  | Achieve positive financial ratios                        | Municipal Wide            | 19     | BTO 6  | 2         | Achieve improved financial cost coverage of the municipality                          | Signed off (CFO) calculation from 3 months C Schedules C Schedules | 0,9                         | 1 month                                 | None                        | 1 month                                 | 1 month     | 1 month     | 1 month      |
| Service Delivery Sustainable Livelihoods and resilient Infrastructure                                      | Provision for water supply and increase the revenue base | All Wards                 | 20     | BTO 7  | 2         | Number of indigents registered to earn free basic services                            | Indigent register  | 15 000 registered indigents | 30 000 registered indigents             | R1 million                  | 6 000                                   | 8 000       | 12 000      | 15 000       |
| Municipal Strategic Objective Implement sound and sustainable financial management and compliance controls |  |                           |        |        |           |   |  |                             |   |                             |   |             |             |              |
| GOAL 11 City of sustainable and efficient resource management  | Expenditure management                                   | Municipal Wide            | 21     | BTO 10 | 2         | Percentage expenditure on overtime not exceeding approved budget by 30 June 2021      | Budget versus actual report  | 100% or less                | 110%                                    |                             | 25% or less                             | 50% or less | 75% or less | 100% or less |
| GOAL 11: City of sustainable and efficient resource management   | Compliance with laws and regulations                     | Municipal Wide            | 22     | BTO 11 | 2         | Section 71 reports due submitted at every ordinary Council meeting                    | Council agenda   | 12 section 71 reports       | Late submission section 71 reports 11   |                             | 3                                       | 3           | 3           | 3            |
| GOAL 11 City of sustainable and efficient resource management  | Compliance with laws and regulations                     | Municipal Wide            | 23     | BTO 12 | 2         | Number of section 72 reports submitted within legislated timeframe at Council meeting | Council agenda   | 1                           | 1                                       |                             | n/a                                     | n/a         | 1           | n/a          |
| GOAL 11 City of sustainable and efficient resource management  | Compliance with laws and regulations                     | Municipal Wide            | 24     | BTO 13 | 2         | Number of section 52 reports submitted after every quarter to council                 | Council Agenda   | 4                           | Late submission of section 52 reports 3 |                             | n/a                                     | 1           | 1           | 1            |

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| Key Focus Area   | Strategies                           | Area/Locality (Ward/Area) | KPI No | REF     | Weighting | Key Performance Indicator (KPI)   | POE                                | Baseline 2019/20   | 2020/21 Annual Target                                      | Annual Budget 2020/21 R'000 | 2020/21 Performance Targets per Quarter                            |          |   |   |
|--|--------------------------------------|---------------------------|--------|---------|-----------|---|------------------------------------|--|--|-----------------------------|--|----------|---|---|
|  |                                      |                           |        |         |           |   |                                    |  |  |                             | Q1   | Q2       | Q3  | Q4  |
| GOAL 11: City of sustainable and efficient resource management | Compliance with laws and regulations | Municipal Wide            | 25     | BTO 14  | 2         | Annual Financial Statements (AFS) of RLM and Consolidated AFS of 2019/20 submitted to AGSA for audit by 31 August 2020 and 30 September 2020 respectively | Acknowledgement of receipt by AGSA | 2x set of Annual financial statements of 2019/20 submitted to AGSA | 2x set of Annual financial statements of submitted to AGSA | R000                        | 2x set of Annual financial statements of 2019/20 submitted to AGSA | n/a      | n/a   | n/a   |
| GOAL 11: City of sustainable and efficient resource management | Compliance with laws and regulations | Municipal Wide            | 26     | BTO 15  | 2         | MTREF budget approved by council by 31 May 2021   | Council agenda                     | 2020/21 Draft MTREF budget submitted to council                    | 2021/22 Draft MTREF budget submitted to council            | R000                        | n/a  | n/a      | 2021/22 Draft MTREF budget submitted to council | 2021/22 final MTREF budget submitted to council |
| GOAL 11: City of sustainable and efficient resource management | Compliance with laws and regulations | Municipal Wide            | 27     | BTO 17  | 2         | Adjustments budget submitted to Council by end of February 2021   | Council agenda                     | 2020/21 Adjustment budget submitted to Council                     | 2020/21 Adjustment budget submitted to Council             | R000                        | n/a  | n/a      | Adjustment budget submitted by 28 February 2021 | n/a   |
| Ensure municipal financial viability and management            | Revenue Generation                   | RLM                       | 28     | DPHS 10 | 2         | Rand value of stands sold   |                                    | Deed of Sale Agreement   | R3 500 000   | R3 500 000                  | R3 500 000   | 0        | R1 000 000                                      | R2 000 000                                      |
| Ensure municipal financial viability and management            | Revenue Generation                   | RLM                       | 29     | DPHS 11 | 2         | Rand value for land use amendment applications and building plans submitted by 30 June 2021   |                                    | Certified Budget spreadsheet from BTO                              | R646 549   | R1 000 000                  | R1 000 000   | R300 000 | R400 000  | R500 000  |
| Total  |                                      |                           | 15     |         | 30        |   |                                    |  |  |                             |  |          |   |   |

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### 6.4 Key Performance Area (KPA 4): Local Economic Development

| Key Focus Area  | Strategies  | Area/Locality (Ward/Area) | KPI No | REF   | Weighting | Key Performance Indicator (KPI)  | POE  | Baseline 2019/20  | 2020/21 Annual Target | Annual Budget 2020/21 R'000 | 2020/21 Performance Targets per Quarter |    |    |   |
|---|---|---------------------------|--------|-------|-----------|--|--|-------------------|-----------------------|-----------------------------|---|----|----|---|
|   |   |                           |        |       |           |  |  |                   |                       |                             | Q1                                      | Q2 | Q3 | Q4  |
| <b>MUNICIPAL STRATEGIC PRIORITY: UPHOLD GOOD GOVERNANCE PUBLIC PARTICIPATION PRINCIPLES</b>                       |   |                           |        |       |           |  |  |                   |                       |                             |   |    |    |   |
| <b>Municipal Strategic Objective: Drive Good Governance and Legislative compliance in all Municipal processes</b> |   |                           |        |       |           |  |  |                   |                       |                             |   |    |    |   |
| <b>GOAL 5: A NEW POST MINING WORLD CITY</b>   | Review the 2011 LED Strategy and formulate economic sectors strategies and implementation plans | All                       | 30     | LED 1 | 2         | Number of Municipal Business Advisory Councils established by end of June 2021 | Appointment letters and Advisory Council Mandate                                     | 2011 LED Strategy | 1                     | R1m                         | -                                       | -  | -  | 1 X Economic Sector Strategic Programme Developed by end of June 2022 |
|   | Develop investment campaigns for implementation of investment and catalytic projects            | All                       | 31     | LED 2 | 2         | Number of catalytic projects facilitated by end of June 2021                   | Confirmation Letter from Investor / Developer or Catalytic Projects Committee Report | -                 | 2                     | R2m                         | -                                       | -  | -  | 2 catalytic projects facilitated by end of June 2022                  |
|   | Partnerships with key stakeholders to develop and promote tourism in Rustenburg                 | All                       | 32     | LED 3 | 2         | Number of tourism activations facilitated by end of June 2021                  | Report on Activity / ies Hosted or Attendance Register / Proof of Registration       | 2                 | 3                     | R3m                         | 1                                       | 1  | 1  | 3 tourism activations facilitated                                     |

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| Key Focus Area                   | Strategies  | Area/Locality (Ward/Area) | KPI No | REF   | Weighting | Key Performance Indicator (KPI)   | POE  | Baseline 2019/20 | 2020/21 Annual Target | Annual Budget 2020/21 R'000 | 2020/21 Performance Targets per Quarter |    |    |      |   |
|----------------------------------|---|---------------------------|--------|-------|-----------|---|--|------------------|-----------------------|-----------------------------|---|----|----|------|---|
|                                  |   |                           |        |       |           |   |  |                  |                       |                             | Q1                                      | Q2 | Q3 | Q4   |   |
| GOAL 6: A SMART, PROSPEROUS CITY | Partnership with key stakeholders for local contractor development, SMMEs and Cooperatives Business Development Support, and Informal Trading Support | All                       | 33     | LED 4 | 2         | Number of SMMEs and Cooperatives assisted with business development support interventions by end of June 2021                       | Attendance Registers or Reports on Activities Hosted                                   | 1000             | 250                   | R3 5m                       | 50                                      | 75 | 75 | 50   | 250 SMMEs and Cooperatives benefited from business development support interventions by end of June 2022                      |
|                                  |   | All                       | 34     | LED 5 | 2         | Number of jobs created through municipality's Local Economic Development initiatives including capital projects by end of June 2021 | List of People employed with ID Numbers or Projects' Reports on Number of Jobs Created | 658              | 1000                  | 0                           | -                                       | -  | -  | 1000 | 650 jobs created through municipality's Local Economic Development initiatives including capital projects by end of June 2022 |
| GOAL 6: A SMART, PROSPEROUS CITY | Develop Policy and Programmes to support local agriculture  | All                       | 35     | LED 6 | 2         | Number of farms supported for agriculture development by end of June 2021   | Agriculture Development Support Programme Technical                                    | 14               | 20                    | R3 5m                       | -                                       | -  | -  | 20   | 40 farms supported for  |

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| Key Focus Area | Strategies  | Area/Locality (Ward/Area) | KPI No | REF | Weighting | Key Performance Indicator (KPI) | POE   | Baseline 2019/20 | 2020/21 Annual Target | Annual Budget 2020/21 R'000 | 2020/21 Performance Targets per Quarter |    |    |    |   |
|----------------|---|---------------------------|--------|-----|-----------|---------------------------------|---|------------------|-----------------------|-----------------------------|---|----|----|----|---|
|                |   |                           |        |     |           |                                 |   |                  |                       |                             | Q1                                      | Q2 | Q3 | Q4 |   |
|                | sector development<br>Partnerships with key stakeholders to support development of rural and township economies |                           |        |     |           |                                 | Committee Report on Recommended Farms for Support / Report on Training / Mentorship Completed |                  |                       |                             |   |    |    |    | agriculture development by end of June 2022 |
| Total          |   |                           | 6      |     | 12        |                                 |   |                  |                       |                             |   |    |    |    |   |

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## 6.5 Key Performance Area (KPA 5): Basic Services and Infrastructure Development

| Key Focus Area   | Strategies   | Area/Locality (Ward/Area) | KPI No | REF     | Weighting | Key Performance Indicator (KPI)   | POE                                 | Baseline 2019/20  | 2020/21 Annual Target | Annual Budget 2020/21 R'000 | 2020/21 Performance Targets per Quarter |  |                    |                              |
|--|--|---------------------------|--------|---------|-----------|---|-------------------------------------|-------------------|-----------------------|-----------------------------|---|--|--------------------|------------------------------|
|  |  |                           |        |         |           |   |                                     |                   |                       |                             | Q1                                      | Q2   | Q3                 | Q4                           |
| Municipal Strategic Objective: Develop and implement integrated internal systems and processes |  |                           |        |         |           |   |                                     |                   |                       |                             |   |  |                    |                              |
| Service Delivery Sustainable Livelihoods and resilient Infrastructure                          | Provision for water supply and increase the revenue base | All Wards                 | 36     | DTIS 1  | 2         | Number of households with access to basic water                                       | Project Progress Reports            | 75 000 Households | 20 000 households     | R 10 mil                    | -                                       | 4 000  | 8 000              | 8 000                        |
| Service Delivery Sustainable Livelihoods and resilient Infrastructure                          | Improve on the quality of water supplied                 | All Wards                 | 37     | DTIS 2  | 2         | % compliance to water quality as per South African National Standards by 30 June 2021 | Progress Report                     | 100%              | 100% Compliance       | R 4 mil                     | -                                       | 100% compliance                                | 100% compliance    | 100% compliance              |
| Service Delivery: Sustainable Livelihoods and resilient Infrastructure                         | Reduce the distribution & Non-revenue water losses       | All Wards                 | 38     | DTIS 3  | 3         | % Reduction of Non-Revenue Water Losses by 30 June 2021                               | Water Losses Report                 | 5%                | 5%                    | R 52 8 mil                  | -                                       | 2.5%   | -                  | 5%                           |
| Sanitation Services  |  |                           |        |         |           |   |                                     |                   |                       |                             |   |  |                    |                              |
| Sustainable Livelihoods and resilient Infrastructure   | New households connections                               | ALL                       | 39     | DTIS 9  | 2         | % increase on Households with access to basic sanitation services                     | Project Progress Reports            | 70%               | 80%                   | MIG                         | 73%                                     | 75%  | 77%                | 80%                          |
| Electricity Services   |  |                           |        |         |           |   |                                     |                   |                       |                             |   |  |                    |                              |
| Service Delivery: Sustainable Livelihoods and resilient Infrastructure                         | Electrification and households connections               | All Wards                 | 40     | DTIS 15 | 2         | % Households with access to metered electricity services                              | Electricity Services Backlog Report | 80%               | 90%                   | R200 000                    | Planning & Designs                      | Appointment of Implementing agent (Contractor) | Construction phase | Project Closure and Handover |

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| Key Focus Area   | Strategies   | Area/Locality (Ward/Area)   | KPI No | REF     | Weighting | Key Performance Indicator (KPI)  | POE  | Baseline 2019/20  | 2020/21 Annual Target  | Annual Budget 2020/21 R'000 | 2020/21 Performance Targets per Quarter |  |   |                |
|--|--|-----------------------------|--------|---------|-----------|--|--|---|--|-----------------------------|---|--|---|----------------|
|  |  |                             |        |         |           |  |  |   |  |                             | Q1                                      | Q2   | Q3  | Q4             |
| Service Delivery: Sustainable Livelihoods and resilient Infrastructure   | Reduce electricity losses  | All Wards                   | 41     | DTIS 20 | 3         | No. of operations to remove illegal connections  | Operations Report  | 4   | 4 operations   | operational budget          | 1                                       | 1  | 1   | 1              |
| <b>1. MUNICIPAL STRATEGIC PRIORITY: Develop and sustain a spatial, natural and built environment</b>                                       |  |                             |        |         |           |  |  |   |  |                             |   |  |   |                |
| <b>1.1. Municipal Strategic Objective: Accelerated delivery and maintenance of quality basic and essential services to all Communities</b> |  |                             |        |         |           |  |  |   |  |                             |   |  |   |                |
| Goal 3: Habitable, clean and green city  | Safe and Clean Environment   | All                         | 42     | DCD 1   | 2         | Percentage of formal households on the valuation roll (93294) provided with a weekly solid waste removal service by 30 June 2021 |  | 113% (106667 of 93294 households on the valuation roll) | 100% of the valuation roll   | R 42m                       | 100%                                    | 100%   | 100%                                      | 100%           |
| Goal 3: Habitable, clean and green city  | Safe and Clean Environment   |                             | 43     | DCD 2   | 2         | Number of recognised informal settlements with a waste service per week by 30 June 2021  |  | 16  | 18 informal settlements  | R0                          | 18 settlements                          | 18 settlements                                 | 18 settlements                            | 18 settlements |
| Goal 3: Habitable, clean and green city  | Maintain a safe, healthy and socially cohesive environment for all | Municipal wide<br>All Wards | 44     | DCD 3   | 2         | Number of recycling initiatives undertaken established within RLM by 30 June 2021  | Reports<br>or<br>Training manuals and/or<br>Attendance Registers | 1 recycling initiative undertaken                       | 2 additional recycling initiatives<br>1 Report on households recycling pilot<br>2 Report on RLM Office recycling | R0                          | N/A                                     | Report on household recycling pilot initiative | Report on RLM Office Recycling Initiative | N/A            |
| <b>MUNICIPAL STRATEGIC PRIORITY: Drive optimal municipal institutional development, transformation and capacity building</b>               |  |                             |        |         |           |  |  |   |  |                             |   |  |   |                |
| <b>Municipal Strategic Objective: Develop and implement integrated internal systems and processes</b>                                      |  |                             |        |         |           |  |  |   |  |                             |   |  |   |                |

S.V.M.  M.M.D.

| Key Focus Area  | Strategies  | Area/Locality (Ward/Area) | KPI No | REF    | Weighting | Key Performance Indicator (KPI)  | POE  | Baseline 2019/20 | 2020/21 Annual Target                                       | Annual Budget 2020/21 R'000 | 2020/21 Performance Targets per Quarter                     |   |   |   |
|---|---|---------------------------|--------|--------|-----------|--|--|------------------|---|-----------------------------|---|---|---|---|
|   |   |                           |        |        |           |  |  |                  |   |                             | Q1  | Q2  | Q3  | Q4  |
| Provision of basic municipal services   | Improve public transport                            | All                       | 45     | R&T 12 | 2         | Number of stations completed for the integrated transport system                         | Completion certificate                                 | 2 stations       | 6 Stations  | R68 000 000                 | n/a   | 2 stations  | 2 stations  | 2 stations  |
| Provision of basic municipal services   | Improve public transport                            | All                       | 46     | R&T 14 | 2         | % Completion of RRT depot  | Signed monthly progress site minutes                   | 0                | 10% on construction   | R60m                        | -   | Appointment of contractor                                   | -   | 10% on construction   |
| Provision of basic municipal services   | Improve public transport                            | All                       | 47     | R&T 6  | 2         | No of buses acquired and operating through the Bus operating company                     | Signed services Level agreement between RLM and BOC    | 0                | Signed services Level agreements                            | R36m                        | -   | -   | -   | 10 Buses acquired and operational                           |
| Provision of basic municipal services   | Improve public transport                            | All                       | 48     | R&T 15 | 2         | % Completion of RRT Feeder routes & Bus Stops  | Signed monthly progress site minutes                   | 0                | 10% progress on construction                                | R10m                        | -   | Appointment of contractor                                   | -   | 10% on construction   |
| <b>MUNICIPAL STRATEGIC PRIORITY: DEVELOP AND SUSTAIN A SPATIAL, NATURAL AND BUILT ENVIRONMENT</b>                                     |   |                           |        |        |           |  |  |                  |   |                             |   |   |   |   |
| <b>Municipal Strategic Objective: Accelerated delivery and maintenance of quality basic and essential services to all Communities</b> |   |                           |        |        |           |  |  |                  |   |                             |   |   |   |   |
| Fire Services   | Improve fire safety compliance of business premises | Municipal Wide            | 49     | DPS 1  | 2         | No of Fire Safety Compliance Inspections undertaken in business premises by 30 June 2021 | Inspection reports signed by HOD supported by evidence | New              | 800 fire safety inspections undertaken in business premises | R000                        | 200 fire safety inspections undertaken in business premises | 200 fire safety inspections undertaken in business premises | 200 fire safety inspections undertaken in business premises | 200 fire safety inspections undertaken in business premises |
| Total   |   |                           | 14     |        | 30        |  |  |                  |   |                             |   |   |   |   |

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## 6.6 Key Performance Area (KPA 6): Spatial Rationale - Develop and Sustain a Spatial, Natural and Built Environment

| Key Focus Area   | Strategies                     | Area/Locality (Ward/Area) | KPI No | REF    | Weighting | Key Performance Indicator (KPI)               | POE  | Baseline 2019/20 | 2020/21 Annual Target | Annual Budget 2020/21 R'000 | 2020/21 Performance Targets per Quarter |                               |                 |                                 |
|--|--------------------------------|---------------------------|--------|--------|-----------|---|--|------------------|-----------------------|-----------------------------|---|-------------------------------|-----------------|---------------------------------|
|  |                                |                           |        |        |           |   |  |                  |                       |                             | Q1                                      | Q2                            | Q3              | Q4                              |
| <b>MUNICIPAL STRATEGIC PRIORITY: UPHOLD GOOD GOVERNANCE PUBLIC PARTICIPATION PRINCIPLES</b>  |                                |                           |        |        |           |   |  |                  |                       |                             |   |                               |                 |                                 |
| Municipal Strategic Objective: Drive Good Governance and Legislative compliance in all Municipal processes                                   |                                |                           |        |        |           |   |  |                  |                       |                             |   |                               |                 |                                 |
| Improved service delivery through provision of high quality, reliable and cost-effective infrastructure based on integrated spatial planning | Eradication of housing backlog | Municipal wide            | 50     | DPHS 1 | 1         | Number land pieces acquired by 30 June 2021   | Transfer documents   | 1                | 1                     | R2m                         | -                                       | -                             | -               | 1                               |
|  | Eradication of housing backlog | Municipal Wide            | 51     | DPHS 4 | 1         | Number of townships formalized or established | Township Establishment application submitted to the Municipality | New              | 2                     | R4 000 000                  | Progress report                         | Submission of the application | Progress report | Progress report                 |
|  | Spatial planning               | Municipal Wide            | 52     | DPHS 5 | 1         | SDF approved by council by June 2021          | Council resolution   | New              | 1                     |                             | -                                       | Progress report               | Progress report | Approved SDF council resolution |
|  | Spatial planning               | Municipal wide            | 53     | DPHS 7 | 1         | Single MPT Established by 31 December 2020    | Gazette notice   | New              | No MPT                | 0                           | Progress report                         | Gazette notice                |                 |                                 |
| Total  |                                |                           | 4      |        | 4         |   |  |                  |                       |                             |   |                               |                 |                                 |

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5 COMPETENCY REQUIREMENTS

5.1. Competency Description: CORE MANAGERIAL COMPETENCIES

| Cluster   | Leading Competencies   |  |  |  | Weight |
|---|--|--|--|--|--------|
| Competency Name   | Strategic Direction and Leadership <sup>1</sup>  |  |  |  | 15     |
| Competency Definition   | Provide and direct a vision for the institution, and inspire and deploy others to deliver on the strategic institutional mandate   |  |  |  |        |
| ACHIEVEMENT LEVELS  |  |  |  |  |        |
| BASIC   | COMPETENT  | ADVANCED   | SUPERIOR   |  |        |
| <ul style="list-style-type: none"> <li>Understand the institutional and departmental strategic objectives, but lacks ability to inspire others to achieve set mandate</li> <li>Describe how specific tasks link to the institutional strategies but has limited influence in directing strategy</li> <li>Has a basic understanding of institutional performance management, but lacks the ability to integrate systems into a collective whole</li> <li>Demonstrate a basic understanding of key decision makers</li> </ul> | <ul style="list-style-type: none"> <li>Give direction to a team in realizing the institution's strategic mandate and set objectives</li> <li>Has a positive impact and influence on the morale, engagement and participation of team members</li> <li>Develop actions plans to execute and guide strategy implementation</li> <li>Assist in defining performance measures to monitor the progress and effectiveness of the institution</li> <li>Displays and awareness of institutional structures and political factors</li> <li>Effectively communicate barriers to execution to relevant parties</li> <li>Provide guidance to all stakeholders in the achievement of the strategic mandate</li> <li>Understand the aim and objectives of the institution and relate it to own work</li> </ul> | <ul style="list-style-type: none"> <li>Evaluate all activities to determine value and alignment to strategic intent</li> <li>Display in-depth knowledge and understanding of strategic planning</li> <li>Align strategy and goals across all functional areas</li> <li>Actively define performance measures to monitor the progress and effectiveness of the institution</li> <li>Consistently challenge strategic plans to ensure relevance</li> <li>Understand institutional structures and political factors, and the consequences of actions</li> <li>Empower others to follow strategic direction and deal with complex situations</li> <li>Guide the institution through complex and ambiguous concern</li> <li>Use understanding of power relationships and dynamic tensions among key players to frame communications and develop strategies, positions and alliances</li> </ul> | <ul style="list-style-type: none"> <li>Structure and position the institution to local government priorities</li> <li>Actively use in-depth knowledge and understanding to develop and implement a comprehensive institutional framework</li> <li>Hold self-accountable for strategy execution and results</li> <li>Provide impact and influence through building and maintaining strategic relationships</li> <li>Create an environment that facilitates loyalty an innovation displays a superior level of self-discipline and integrity in actions</li> <li>Integrate various systems into a collective whole to optimize institutional performance management</li> <li>Uses understanding of competing interests to manoeuvre successfully to a win/win outcome</li> </ul> |  |        |

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| Cluster   |   | Leading Competencies  |   | Weight |
|---|---|---|---|--------|
| Competency Name   |   | People Management <sup>2</sup>  |   | 10     |
| Competency Definition   |   | Effectively manage, inspire and encourage people, respect diversity, optimise talent and build nature relationships in order to achieve institutional objectives  |   |        |
| ACHIEVEMENT LEVELS  |   |   |   |        |
| BASIC   | COMPETENT   | ADVANCED  | SUPERIOR  |        |
| <ul style="list-style-type: none"> <li>Participate in team goal setting and problem solving</li> <li>Interact and collaborate with people of diverse backgrounds</li> <li>Aware of guidelines for employee development, but requires support in implementing development initiatives</li> </ul> | <ul style="list-style-type: none"> <li>Seek opportunities to increase team contribution and responsibility</li> <li>Respect and support the diverse nature of others and be aware of the benefits of a diverse approach</li> <li>Effectively delegate tasks and empower others to increase contribution and execute functions optimally</li> <li>Apply relevant employee legislation fairly and consistently</li> <li>Facilitate team goal-setting and problem solving</li> <li>Effectively identify capacity requirements to fulfil the strategic mandate</li> </ul> | <ul style="list-style-type: none"> <li>Identify ineffective team and work processes and recommend remedial interventions</li> <li>Recognize and reward effective and desired behaviour</li> <li>Provide mentoring and guidance to others in order to increase personal effectiveness</li> <li>Identify development and learning needs within the team</li> <li>Build a work environment conducive to sharing, innovation, ethical behaviour and professionalism</li> <li>Inspire a culture of performance excellence by giving positive and constructive feedback to the team</li> <li>Achieve agreement or consensus in adversarial environments</li> <li>Lead and unite diverse teams across divisions to achieve institutional objectives</li> </ul> | <ul style="list-style-type: none"> <li>Develop and incorporate best practice people management processes, approaches and tools across the institution</li> <li>Foster a culture of discipline, responsibility and accountability</li> <li>Understand the impact of diversity in performance and actively incorporate a diversity strategy in the institution</li> <li>Develop comprehensive integrated strategies and approaches to human capital development and management</li> <li>Actively identify trends and predict capacity requirements to facilitate unified transition and performance management</li> </ul> |        |

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| Cluster   | Leading Competencies  |   |  | Weight |
|---|---|---|--|--------|
| Competency Name   | Program and Project Management <sup>3</sup>   |   |  | 5      |
| Competency Definition   | Able to understand program and project management methodology; plan, manage, monitor and evaluate specific activities in order to deliver on set objectives   |   |  |        |
| ACHIEVEMENT LEVELS  |   |   |  |        |
| BASIC   | COMPETENT   | ADVANCED  | SUPERIOR   |        |
| <ul style="list-style-type: none"> <li>• Initiate projects after approval from higher authorities</li> <li>• Understand procedures of program and project management methodology, implications and stakeholder involvement</li> <li>• Understand the rationale of projects in relation to the institution's strategic objectives</li> <li>• Document and communicate factors and risk associated with own work</li> <li>• Use results and approaches of successful project implementation as guide</li> </ul> | <ul style="list-style-type: none"> <li>• Establish broad stakeholder involvement and communicate the project status and key milestones</li> <li>• Define the roles and responsibilities of the project team and create clarity around expectations</li> <li>• Find a balance between project deadline and the quality of deliverables</li> <li>• Identify appropriate project resources to facilitate the effective completion of the deliverables</li> <li>• Comply with statutory requirements and apply policies in a consistent manner</li> <li>• Monitor progress and use of resources and make needed adjustments to timelines, steps, and resource allocation</li> </ul> | <ul style="list-style-type: none"> <li>• Manage multiple programs and balance priorities and conflicts according to institutional goals</li> <li>• Apply effective risk management strategies through impact assessment and resource requirements</li> <li>• Modify project scope and budget when required without compromising the quality and objectives of the project</li> <li>• Involve top-level authorities and relevant stakeholders in seeking project buy-in</li> <li>• Identify and apply contemporary project management methodology</li> <li>• Influence and motivate project team to deliver exceptional results</li> <li>• Monitor policy implementation and apply procedures to manage risks</li> </ul> | <ul style="list-style-type: none"> <li>• Understand and conceptualise the long-term implications of desired project outcomes</li> <li>• Direct a comprehensive strategic macro and micro analysis and scope projects accordingly to realise institutional objectives</li> <li>• Consider and initiate projects that focus on achievement of the long-term objectives</li> <li>• Influence people in positions of authority to implement outcomes of projects</li> <li>• Lead and direct translation of policy into workable action plans</li> <li>• Ensures that programs are monitored to track progress and optimal resource utilisation, and that adjustments are made as needed</li> </ul> |        |

S.V.M. M.M.D.  


| Cluster   | Leading Competencies   |   |   |  | Weight |
|---|--|---|---|--|--------|
| Competency Name   | Financial Management <sup>4</sup>  |   |   |  | 15     |
| Competency Definition   | Able to compile, and manage budgets, control cash flow, institute financial risk management and administer procurement processes in accordance with recognized financial practices. Further to ensure that all financial transactions are managed in an ethical manner   |   |   |  |        |
| ACHIEVEMENT LEVELS  |  |   |   |  |        |
| BASIC   | COMPETENT  | ADVANCED  | SUPERIOR  |  |        |
| <ul style="list-style-type: none"> <li>• Understand basic financial concepts and methods as they relate to institutional processes and activities</li> <li>• Display awareness into the various sources of financial data, reporting mechanisms, financial governance, processes and systems</li> <li>• Understand the importance of financial accountability</li> <li>• <i>Understand the importance of asset control</i></li> </ul> | <ul style="list-style-type: none"> <li>• Exhibit knowledge of general financial concepts, planning, budgeting, and forecasting and how they interrelate</li> <li>• Assess, identify and manage financial risks</li> <li>• Assume a cost saving approach to financial management</li> <li>• Prepare financial reports based on specified formats</li> <li>• Consider and understand the financial implications of decisions and suggestions</li> <li>• Ensure that delegation and instructions as required by National Treasury guidelines are reviewed and updated</li> <li>• Identify and implement proper monitoring and evaluation practices to ensure appropriate spending against budget</li> </ul> | <ul style="list-style-type: none"> <li>• Take active ownership of planning, budgeting, and forecast processes and provides credible answers to queries within own responsibility</li> <li>• Prepare budgets that are aligned to the strategic objectives of the institution</li> <li>• Address complex budgeting and financial management concerns</li> <li>• Put systems and processes in place to enhance the quality and integrity of financial management practices</li> <li>• <i>Advise on policies and procedures regarding asset control</i></li> <li>• Promote National Treasury's regulatory framework for Financial Management</li> </ul> | <ul style="list-style-type: none"> <li>• Develop planning tools to assist in evaluating and monitoring future expenditure trends</li> <li>• Set budget frameworks for the institution</li> <li>• Set strategic direction for the institution on expenditure and other financial processes</li> <li>• Build and nurture partnerships to improve financial management and achieve financial savings</li> <li>• <i>Actively identify and implement new methods to improve asset control</i></li> <li>• Display professionalism in dealing with financial data and processes</li> </ul> |  |        |

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| Cluster   | Leading Competencies  |  |   |  | Weight |
|---|---|--|---|--|--------|
| Competency Name   | Change Leadership <sup>5</sup>  |  |   |  | 10     |
| Competency Definition   | Able to direct and initiate institutional transformation on all levels in order to successfully drive and implement new initiatives and deliver professional and quality services to the community  |  |   |  |        |
| ACHIEVEMENT LEVELS  |   |  |   |  |        |
| BASIC   | COMPETENT   | ADVANCED   | SUPERIOR  |  |        |
| <ul style="list-style-type: none"> <li>• Display an awareness of change interventions, and the benefits of transformation initiatives</li> <li>• Able to identify basic needs for change</li> <li>• Identify gaps between the current and desired state</li> <li>• Identify potential risk and challenges to transformation, including resistance to change factors</li> <li>• Participate in change programs and piloting change interventions</li> <li>• Understand the impact of change interventions on the institution within the broader scope of Local Government</li> </ul> | <ul style="list-style-type: none"> <li>• Perform an analysis of the change, impact on the social, political and economic environment</li> <li>• Maintain calm and focus during change</li> <li>• Able to assist team members during change and keep them focused on the deliverables</li> <li>• Volunteer to lead change efforts outside of own work team</li> <li>• Able to gain buy-in and approval for change from relevant stakeholders</li> <li>• Identify change readiness levels and assist in resolving resistance to change factors</li> <li>• Design change interventions that are aligned with the institution's strategic objectives and goals</li> </ul> | <ul style="list-style-type: none"> <li>• Actively monitor change impact and results and convey progress to relevant stakeholders</li> <li>• Secure buy-in and sponsorship for change initiatives</li> <li>• Continuously evaluate change strategy and design and introduce new approaches to enhance the institution's effectiveness</li> <li>• Build and nurture relationships with various stakeholders to establish strategic alliance in facilitating change</li> <li>• Take the lead in impactful change programs</li> <li>• Benchmark change interventions against best change practices</li> <li>• Understand the impact and psychology of change, and put remedial interventions in place to facilitate effective transformation</li> <li>• Take calculated risk and seek new ideas from best practice scenarios, and identify the potential for implementation</li> </ul> | <ul style="list-style-type: none"> <li>• Sponsor change agents and create a network of change leaders who support the interventions</li> <li>• Actively adapt current structures and processes to incorporate the change interventions</li> <li>• Mentor and guide team members on the effect of change, resistance factors and how to integrate change</li> <li>• Motivate and inspire others around change initiatives</li> </ul> |  |        |

S.V.M.  M.M.D.

| Cluster   | Leading Competencies   |  |  |  | Weight |
|---|--|--|--|--|--------|
| Competency Name   | Governance Leadership <sup>6</sup>   |  |  |  | 10     |
| Competency Definition   | Able to promote, direct and apply professionalism in managing risk and compliance requirements and apply a thorough understanding of governance practices and obligations. Further, able to direct the conceptualization of relevant policies and enhance cooperative governance relationships   |  |  |  |        |
| ACHIEVEMENT LEVELS  |  |  |  |  |        |
| BASIC   | COMPETENT  | ADVANCED   | SUPERIOR   |  |        |
| <ul style="list-style-type: none"> <li>• Display a basic awareness of risk, compliance and governance factors but require guidance and development in implementing such requirements</li> <li>• Understand the structure of cooperative government but requires guidance on fostering workable relationships between stakeholders</li> <li>• Provide input into policy formulation</li> </ul> | <ul style="list-style-type: none"> <li>• Display a thorough understanding of governance and risk and compliance factors and implement plans to address these</li> <li>• Demonstrate understanding of the techniques and processes for optimizing risk taking decisions within the institution</li> <li>• Actively drive policy formulation within the institution to ensure the achievement of objectives</li> </ul> | <ul style="list-style-type: none"> <li>• Able to link risk initiatives into key institutional objectives and drivers</li> <li>• Identify, analyses and measure risk, create valid risk, create valid risk forecast, and map risk profiles</li> <li>• Apply risk control methodology and approaches to prevent and reduce risk that impede on the achievement of institutional objectives</li> <li>• Demonstrate a thorough understanding of risk retention plans</li> <li>• Identify an implement comprehensive risk management systems and processes</li> <li>• Implement and monitor and formulation of policies, identify and analyses constraints and challenges with implementations and provide recommendations for improvement</li> </ul> | <ul style="list-style-type: none"> <li>• Demonstrate a high level of commitment in complying with governance requirements</li> <li>• Implement governance and compliance strategy to ensure achievement of institutional objectives within the legislative framework</li> <li>• Able to advise Local Government on risk management strategies, best practice interventions and compliance management</li> <li>• Able to forge positive relationships on governance level to enhance the effectiveness of Local Government</li> <li>• Able to shape, direct and drive the formulation of policies on a macro level</li> </ul> |  |        |

SUM MK M.M.D.

## 5.2. Competency Description: CORE OCCUPATIONAL COMPETENCIES

| Cluster  | Core Competencies   |   |   | Weight |
|--|---|---|---|--------|
| Competency Name  | Moral Competence <sup>1</sup>   |   |   | 5      |
| Competency Definition  | Able to identify moral triggers, apply reasoning that promotes honesty and integrity and consistently display behaviour that reflects moral competence  |   |   |        |
| ACHIEVEMENT LEVELS   |   |   |   |        |
| BASIC  | COMPETENT   | ADVANCED  | SUPERIOR  |        |
| <ul style="list-style-type: none"> <li>Realise the impact of acting with integrity, but requires guidance and development in implementing principles</li> <li>Follow basic rules and regulations of the institution</li> <li>Able to identify basic moral situations, but requires guidance and development in understanding and reasoning with moral intent.</li> </ul> | <ul style="list-style-type: none"> <li>Conduct self in alignment with values of Local Government and the institution</li> <li>Able to openly admit own mistakes and weaknesses and seek assistance from others when unable to deliver</li> <li>Actively report fraudulent and activity of corruption within local government</li> <li>Understand and honour the confidential nature of matters without seeking personal gain</li> <li>Able to deal with situations of conflict of interest promptly and in the best interest of local government</li> </ul> | <ul style="list-style-type: none"> <li>Identify, develop, and apply measures of self-correction</li> <li>Able to gain trust and respect through aligning actions with commitments</li> <li>Make proposals and recommendation that are transparent and gain the approval of relevant stakeholders</li> <li>Present values, beliefs and ideas that are congruent with the institution's rules and regulations</li> <li>Take an active stance against corruption and dishonesty when noted</li> <li>Actively promote the value of the institution to internal and external stakeholders</li> <li>Able to work in unity with a team and not seek personal gain</li> <li>Apply universal moral principles consistently to achieve moral decisions</li> </ul> | <ul style="list-style-type: none"> <li>Create an environment conducive of moral practices</li> <li>Actively develop and implement measures to combat fraud and corruption</li> <li>Set integrity standards and shared accountability measures across the institution to support the objectives of local government</li> <li>Take responsibility for own actions and decisions, even if the consequences are unfavourable</li> </ul> |        |

S.V.M. M.M.S.

| Cluster   | Core Competencies  |  |   |  | Weight |  |
|---|--|--|---|--|--------|--|
| Competency Name   | Planning and Organising <sup>2</sup>   |  |   |  | 10     |  |
| Competency Definition   | Able to plan, prioritise and organise information and resources effectively to ensure the quality of service delivery and build efficient contingency plans to manage risk   |  |   |  |        |  |
| ACHIEVEMENT LEVELS  |  |  |   |  |        |  |
| BASIC   | COMPETENT  | ADVANCED   | SUPERIOR  |  |        |  |
| <ul style="list-style-type: none"> <li>• Able to follow basic plans and organise tasks around set objectives</li> <li>• Understand the process of planning and organising but requires guidance and development in providing detailed and comprehensive plans</li> <li>• Able to follow existing plans and ensure that objectives are met</li> <li>• Focus on short term objectives in developing plans and actions</li> <li>• Arrange information and resources required for a task, but require further structure and organisation</li> </ul> | <ul style="list-style-type: none"> <li>• Actively and appropriately organise information and resources required for a task</li> <li>• Recognise the urgency and importance of tasks</li> <li>• Balance short and long-term plans and goals and incorporate into the team's performance objectives</li> <li>• Schedule tasks to ensure they are performed within budget and with efficient use of time and resources</li> <li>• Measure progress and monitor performance results</li> </ul> | <ul style="list-style-type: none"> <li>• Able to define institutional objectives, develop comprehensive plans, integrate and coordinate activities, and assign appropriate resources for successful implementation</li> <li>• Identify in advance stages and actions to complete tasks and projects</li> <li>• Schedule realistic timelines, objectives and milestones for tasks and projects</li> <li>• Produce clear, detailed and comprehensive plans to achieve institutional objectives</li> <li>• Identify possible risk factors and design and implement appropriate contingency plans</li> <li>• Adapt plans considering changing circumstances</li> <li>• Prioritise tasks and projects according to their relevant urgency and importance</li> </ul> | <ul style="list-style-type: none"> <li>• Focus on broad strategies and initiative when developing plans and actions</li> <li>• Able to project and forecast short, medium and long-term requirements of the institution and local government</li> <li>• Translate policy into relevant projects to facilitate the achievement of institutional objective</li> </ul> |  |        |  |

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| Cluster               | Core Competencies   |   |  |   | Weight |
|-----------------------|---|---|--|---|--------|
| Competency Name       | Analysis and Innovation <sup>3</sup>  |   |  |   | 5      |
| Competency Definition | Able to critically analyse information, challenges and trends to establish and implement fact-based solutions that are innovative to improve institutional processes in order to achieve key strategic objectives   |   |  |   |        |
|                       | ACHIEVEMENT LEVELS  |   |  |   |        |
|                       | BASIC   | COMPETENT   | ADVANCED   | SUPERIOR  |        |
|                       | <ul style="list-style-type: none"> <li>Understand the basic operation of analysis, but lack detail and thoroughness</li> <li>Able to balance independent analysis with requesting assistance from others</li> <li>Recommend new ways to perform tasks within own function</li> <li>Propose simple remedial interventions that marginally challenges the status quo</li> <li>Listen to the ideas and perspectives of others and explore opportunities to enhance such innovative thinking</li> </ul> | <ul style="list-style-type: none"> <li>Demonstrate logical problem-solving techniques and approaches and provide rationale for recommendation</li> <li>Demonstrate objectivity, insight, and thoroughness when analysing problems</li> <li>Able to break down complex problems into manageable parts and identify solutions</li> <li>Consult internal and external stakeholders on opportunities to improve processes and service delivery</li> <li>Clearly communicate the benefits of new opportunities and innovative solutions to stakeholders</li> <li>Continuously identify opportunities to enhance internal processes</li> <li>Identify and analyse opportunities conducive to innovative approaches and propose remedial intervention</li> </ul> | <ul style="list-style-type: none"> <li>Coaches team members on analytical and innovative approaches and techniques</li> <li>Engage with appropriate individuals in analysing and resolving complex problems</li> <li>Identify solutions on various areas in the institution</li> <li>Formulate and implement new ideas throughout the institution</li> <li>Able to gain approval and buy in for proposed interventions from relevant stakeholders</li> <li>Identify trends and best practices in process and service delivery and propose institutional application</li> <li>Continuously engage in research to identify client needs</li> </ul> | <ul style="list-style-type: none"> <li>Demonstrate complex analytical and problem-solving approaches and techniques</li> <li>Create an environment conducive to analytical and fact-based problem-solving</li> <li>Analyse, recommend solutions and monitor trends in key challenges to prevent and manage occurrence</li> <li>Create an environment that fosters innovative thinking and follows a learning organisation approach</li> <li>Be a thought leader on innovative customer service delivery, and process optimisation</li> <li>Plan an active role in sharing best practice solutions and engage in national and international local government seminars and conferences</li> </ul> |        |

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| Cluster   | Core Competencies  |  |   | Weight |
|---|--|--|---|--------|
| Competency Name   | Knowledge and Information Management <sup>4</sup>  |  |   | 5      |
| Competency Definition   | Able to Promote the generation and sharing of knowledge and information through various processes and media, in order to enhance the collective knowledge base of local government   |  |   |        |
| ACHIEVEMENT LEVELS  |  |  |   |        |
| BASIC   | COMPETENT  | ADVANCED   | SUPERIOR  |        |
| <ul style="list-style-type: none"> <li>Collect, categorise and track relevant information required for specific tasks and projects</li> <li>Analyse and interpret information to draw conclusions</li> <li>Seek new sources of information to increase the knowledge base</li> <li>Regularly share information and knowledge with internal stakeholders and team members</li> </ul> | <ul style="list-style-type: none"> <li>Use appropriate information systems and technology to manage institutional knowledge and information sharing</li> <li>Evaluate data from various sources and use information effectively to influence decisions and provide solutions</li> <li>Actively create mechanisms and structures for sharing of information</li> <li>Use external and internal resources to research and provide relevant and cutting-edge knowledge to enhance institutional effectiveness and efficiency</li> </ul> | <ul style="list-style-type: none"> <li>Effectively predict future information and knowledge management requirements and systems</li> <li>Develop standards and processes to meet future knowledge management needs</li> <li>Share and promote best-practice knowledge management across various institutions</li> <li>Establish accurate measures and monitoring systems for knowledge and information management</li> <li>Create a culture conducive of learning and knowledge sharing</li> <li>Hold regular knowledge and information sharing sessions to elicit new ideas and share best practice approaches</li> </ul> | <ul style="list-style-type: none"> <li>Create and support a vision and culture where team members are empowered to seek, gain and share knowledge and information</li> <li>Establish partnerships across local government to facilitate knowledge management</li> <li>Demonstrate a mature approach to knowledge and information sharing with an abundance and assistance approach</li> <li>Recognise and exploit knowledge points in interactions with internal and external stakeholders</li> </ul> |        |

| Cluster   | Core Competencies   |   |   | Weight |
|---|---|---|---|--------|
| Competency Name   | Communication <sup>5</sup>  |   |   | 5      |
| Competency Definition   | Able to share information, knowledge and ideas in a clear, focused and concise manner appropriate for the audience in order to effectively convey, persuade and influence stakeholders to achieve the desired outcome |   |   |        |
| ACHIEVEMENT LEVELS  |   |   |   |        |
| BASIC   | COMPETENT   | ADVANCED  | SUPERIOR  |        |
| <ul style="list-style-type: none"> <li>Demonstrate an understanding for communication levers and tools appropriate for the</li> </ul> | <ul style="list-style-type: none"> <li>Express ideas to individuals and groups in formal and informal settings in a</li> </ul>  | <ul style="list-style-type: none"> <li>Effectively communicate high-risk and sensitive matters to relevant stakeholders</li> <li>Develop a well-defined communication strategy</li> </ul> | <ul style="list-style-type: none"> <li>Regarded as a specialist in negotiations and representing the institution</li> </ul> |        |

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| <p>audience, but requires guidance in utilising such tools</p> <ul style="list-style-type: none"> <li>Express ideas in a clear and focused manner, but does not always take the needs of the audience into consideration</li> <li>Disseminate and convey information and knowledge adequately</li> </ul> | <p>manner that is interesting and motivating</p> <ul style="list-style-type: none"> <li>Able to understand, tolerate and appreciate diverse perspectives, attitudes and beliefs</li> <li>Adapts communication content and style to suit the audience and facilitate optimal information transfer</li> <li>Deliver content in a manner that gains support, commitment and agreement from relevant stakeholders</li> <li>Compile clear, focused, concise and well-structured written documents</li> </ul> | <ul style="list-style-type: none"> <li>Balance political perspectives with institutional needs when communicating viewpoints on complex issues</li> <li>Able to effectively direct negotiations around complex matters and arrive at a win-win situation that promotes Batho Pele principles</li> <li>Market and promote the institution to external stakeholders and seek to enhance a positive image of the institution</li> <li>Able to communicate with the media with high levels of moral competence and discipline</li> </ul> | <ul style="list-style-type: none"> <li>Able to inspire and motivate others through positive communication that is impactful and relevant</li> <li>Creates an environment conducive to transparent and productive communication and critical and appreciative conversations</li> <li>Able to coordinate negotiations at different levels within local government and externally</li> </ul> |
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| Cluster  |   | Core Competencies   |  |  | Weight |
|--|---|---|--|--|--------|
| Competency Name  |   | Results and Quality Focus <sup>6</sup>  |  |  | 5      |
| Competency Definition  |   | Able to maintain high quality standards, focus on achieving results and objectives while consistently striving to exceed expectations and encourage others to meet quality standards, Further, to actively monitor and measure results and quality against identified objectives  |  |  |        |
| ACHIEVEMENT LEVELS   |   |   |  |  |        |
| BASIC  | COMPETENT   | ADVANCED  | SUPERIOR   |  |        |
| <ul style="list-style-type: none"> <li>Understand quality of work but requires guidance in attending to important matters</li> <li>Show a basic commitment to achieving the correct results</li> <li>Produce the minimum level of results required in the role</li> <li>Produce outcomes that is of a good standards</li> <li>Focus on the quantity of output but requires development in incorporating the quality of work</li> <li>Produce quality work in general circumstances, but fails to meet expectation when under pressure</li> </ul> | <ul style="list-style-type: none"> <li>Focus on high priority actions and does not become distracted by lower-priority activities</li> <li>Display firm commitment and price in achieving the correct results</li> <li>Set quality standards and design processes and tasks around achieving set standards</li> <li>Produce output of high quality</li> <li>Able to balance the quantity and quality of results in order to achieve objectives</li> <li>Monitors progress, quality of work, and use of resources; provide status updates, and make adjustments as needed</li> </ul> | <ul style="list-style-type: none"> <li>Consistently verify own standards and outcomes to ensure quality output</li> <li>Focus on the end result and avoids being distracted</li> <li>Demonstrate a determined and committed approach to achieving results and quality standards</li> <li>Follow task and projects through to completion</li> <li>Set challenging goals and objectives to self and team and display commitment to achieving expectations</li> <li>Maintain a focus on quality outputs when placed under pressure</li> <li>Establishing institutional systems for managing and assigning work, defining responsibilities, tracking, monitoring and measuring success, evaluating and valuing the work of the institution</li> </ul> | <ul style="list-style-type: none"> <li>Coach and guide others to exceed quality standards and results</li> <li>Develop challenging, client-focused goals and sets high standards for personal performance</li> <li>Commit to exceed the results and quality standards, monitor own performance and implement remedial interventions when required</li> <li>Work with team to set ambitious and challenging team goals, communicating long-and short term expectations</li> <li>Take appropriate risks to accomplish goals</li> <li>Overcome setbacks and adjust action plans to realise goals</li> <li>Focus people on critical activities that yield a high impact</li> </ul> |  |        |

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6 CONSOLIDATED SCORESHEET (PERFORMANCE ASSESSMENT CALCULATOR): MUNICIPAL MANAGER

In terms of Regulations 805 of 2006, the Employee will be scored on a ratio of 80% for Key Performance Areas (KPA's) and 20% for Core Competency Requirements (CCRs) It is also required that the KPA's relevant to the Employees Functions also be weighted in terms of importance out of a total of 100%, contributing to the 80% contribution to KPA's. It is also necessary to allocate weighting amongst KPI's and Projects where applicable. A Summary of total weightings are indicated below.

| Key Performance Areas (KPA's)   | KPA Weightings | Assess Weightings | Weighted Score | Panel Score |
|---|----------------|-------------------|----------------|-------------|
| Basic Service and Infrastructure Development  | 30             |                   |                |             |
| Municipal Institutional Development and Transformation                              | 4              |                   |                |             |
| Local Economic Development (LED)  | 12             |                   |                |             |
| Municipal Financial Viability and Management  | 30             |                   |                |             |
| Good Governance and Public Participation  | 20             |                   |                |             |
| Spatial Rationale   | 4              |                   |                |             |
| <b>Total KPAs = (KPAs Weighted Score/100%) x 80%</b>                                | <b>100</b>     |                   |                |             |
| <b>Total Core Competency Requirements (CCRs) = (CCRs Weighted Score/100%) x 20%</b> |                |                   |                |             |
| <b>TOTAL WEITGHTED SCORE (KPAs + CCRs)</b>  |                |                   |                |             |
| <b>TOTAL WEITGHTED SCORE CONVERTED TO % = (TOTAL WEIGHTED SCORE/3) x 100%</b>       |                |                   |                |             |

***N.B. The consolidated Performance Evaluation Results will be attached separately in the assessment report for the incumbent.***

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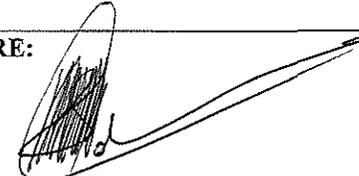
### ANNEXURE B

#### PERSONAL DEVELOPMENT ACTION PLAN AFTER THE PERFORMANCE REVIEWS

After concluding the performance reviews for the Municipal Manager, the outcome of the performance reviews influences the amendment of the Personal Development Action Plan. The personal growth and the development needs identified during the performance review session must be documented in the revised Personal Development Plan to accommodate the new needs as identified during the performance review discussions. The new Personal Development Plan shall amongst others include the actions agreed to and the implementation must take place within the set time frames. Below is the Personal Development Plan Action Plan.

| Skills Performance Gap | Outcomes Expected | Suggested Training / Development | Suggested Mode of Delivery | Suggested Time Frames | Support Person |
|------------------------|-------------------|----------------------------------|----------------------------|-----------------------|----------------|
| None                   |                   |                                  |                            |                       |                |

#### SIGNATURES

| SIGNED AND ACCEPTED ON BEHALF OF COUNCIL  | SIGNED AND ACCEPTED BY THE EMPLOYEE   |
|---|---|
| NAME: Cllr MPH. E KHUNOU  | NAME: MR. SELLO VICTOR MAKONA   |
| SIGNATURE:<br> | SIGNATURE:<br> |
| DATE:<br>2020/07/17   | DATE:<br>2020/07/17   |

*MK*  
*M.M.D.* *S.V.M*